

Motivational Interviewing

Helping People Change and Grow



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What is Motivational Interviewing?

Motivational Interviewing (MI) is defined as "a particular way of talking with people about change and growth to strengthen their own motivation and commitment." (Miller & Rollnick, 2023). In other words, a way of helping people talk themselves into changing.

MI takes the view that people commonly have difficulty changing because they feel two ways about it. They're ambivalent. Part of them wants to change *and* part of them has arguments for not changing – "I know I'd feel better if I got more exercise, but it's so hard to get started and besides my life is already way too busy."

In MI, once a concern or change goal has been identified, the practitioner serves as a guide to help explore the person's ambivalence, and more importantly, to elicit the individual's own motivation to change – such as their reasons for considering change, possible benefits, how they might go about making the change in order to be successful, how important or urgent the need is to change, the individual's level of confidence in moving forward, who else could help support the change, and possible next steps.

Where and when did it develop?

The concept of Motivational Interviewing (MI) grew out of the experience of providing treatment for problem drinkers and was first described by psychologist, William R. Miller, in an article published in 1983.

Historically the addictions treatment field, especially in the United States, has been characterized by a highly confrontational, shame-based approach believed to break down people's denial so they will come to their senses about their need to change. This approach has proven to be mostly ineffective. In general, human beings tend to resist other people's attempts to *get* them to change, even when those efforts are well intended.

With the publication of William R. Miller and Stephen Rollnick's seminal book, Motivational Interviewing, in 1991, care providers were introduced to an alternative way to engage in "helping conversations" with people misusing substances. The authors described a way of interacting based on a particular conversation style and use of specific communication skills and strategies.

A second edition, Motivational Interviewing: Preparing People for Change, was published in 2002. It further refined the MI approach, provided an emerging research base for MI, and detailed its spread to other areas beyond substance use disorders including health, behavioral health, corrections, and schools.

A third edition, Motivational Interviewing: Helping People Change, 2013, expanded on the MI approach and included some new concepts including the four processes (referred to as tasks in MI 4^{th} edition) of MI conversations (engaging, focusing, evoking, and planning) and distinguishing between sustain talk and discord. Today, MI has circled the globe, and support and respect for the practice is growing.

A fourth edition, Motivational Interviewing: Helping People Change and Grow, 2023, seeks to distill simplicity from complexity, is about 30% smaller than the 3rd edition, and reduces technical jargon to speak to an ever-widening audience of professional helpers who can learn and use MI. This edition expands the MI approach to recognize that MI is now used to accompany people over a longer spans of growth when used by helping professionals such as teachers, mentors, coaches, leaders, and supervisors.

How does it work?

Practicing MI requires a healthy sense of humility. It brings us face-to-face with the recognition that we don't have the power to change others. In truth, we can only change ourselves. However, we are able to have a positive influence on others and their motivation to change. As Madeline Hunter says: "They say you can lead a horse to water, but you can't make him drink. But I say, you can salt the oats." The goal of MI is to help individuals become "thirsty" for change by skillfully engaging them in an exploratory process to discover, strengthen, and act upon their own motivations to change.

MI practitioners seek to create safe, trusting, non-adversarial relationships with individuals. This makes it easier for people to non-defensively examine their lives, particularly in areas where their own behaviors and values are out of sync. If care providers try to "educate" or convince, people are likely to defend the status quo. When they focus on eliciting the person's own knowledge, experience and inner wisdom in a genuine, empathic manner, change is more likely to happen.

The evidence for MI

A wealth of studies indicate that MI has a statistically significant positive effect on behavior change, with a number of studies showing that those changes are durable over time. MI remains effective when used as a stand-alone intervention, infused within other approaches to treatment, as well as a precursor to other treatment (Lundhal et al., 2013). A number of studies have revealed that patients defined as "least ready to change" experience the largest MI effect (Heckman et al., 2010).

Of course, MI competency varies among practitioners as well as the quality of the alliance that develops between practitioner and client. Poor MI promotes poor results. Structural and environmental factors can also affect the success of MI. For example, social instability can hinder efforts to address substance misuse. For others, a history of trauma may create obstacles to accessing help. MI sees people's struggles in the context of their lives and works with them to focus and prioritize.

Developing competence

Ongoing practice with accurate feedback and coaching is needed to develop MI skills. Research shows that MI competence requires expert feedback based on observed practice and coaching to support shifts from current practice to MI proficiency. Many individuals and organizations have instituted Learning Circles as a way of increasing their MI knowledge and skills. In addition, many excellent training and coaching opportunities exist.

For information about MI resources including the latest MI related research, visit the Motivational Interviewing Network of Trainers website at: https://motivationalinterviewing.org/motivational-interviewing-resources

Key MI Ingredients

What is MI?

"A particular way of talking with people about change and growth to strengthen their own motivation and commitment" (MI4) or "a person-centered counseling style for addressing the common problem of ambivalence about change." (MI3)

The spirit (mindset and heart-set) of motivational conversations

Partnership – collaborating with the client's own expertise

Acceptance – communicating absolute worth, accurate empathy, affirmation, and autonomy support

Compassion – promoting the client's best interests, giving priority to the client's needs, walking alongside them in their struggles/suffering

Empowerment – helping people realize and utilize their own strengths and abilities

Four tasks that guide motivational conversations

Engaging – establishing a relational foundation, showing genuine interest and empathy

Focusing – arriving at a particular concern, dilemma, or change goal on which to focus

Evoking – eliciting the person's own change talk/motivation related to the area of focus

Planning – helping the person develop a specific change plan/steps to try out

Four conversational skills (OARS)

Open questions – gather information, encourage client curiosity, demonstrate genuine interest and respect, invite reflection and elaboration, respect autonomy

Affirmations – shine a light on what's good about a person, help strengthen the relationship, reduce defensiveness, increase confidence in a person's own ability to change, build hope

Reflections – statements that convey the practitioner's understanding of what the client is saying or feeling, without judging, interpreting, or advising; often focus on conveying empathy, highlighting ambivalence, or accentuating change talk

Summaries – "reflective paragraphs" that highlight selected thoughts, ideas, feelings that the client has communicated; are used particularly at transition points or when the conversation is nearing an end, followed by an invitation for the client to respond

Selected questions to ask to explore ambivalence and elicit/strengthen motivation Tell me more about this issue/concern/dilemma/behavior (that's been identified)?

What are the good things and not so good things about...?

If things remain the same, what might be at stake?

What could be some **benefits** of changing? **Reasons** to change?

If you were to decide to change, **how** would you go about it to be successful? What would work for you?

Looking at your life currently, **how important** or urgent is it for you to make this change? For example, on a scale of 0-10 (0 = not at all important; 10 = totally important), where would you place yourself? What makes it already a __ and not a __ (several numbers lower)? What would it take to move from a __ to a __ (next highest number)?

How confident are you that you could be successful in changing? (scaling questions works well here too)

How can I or others be helpful to you in supporting this change?

What do you think you might do as a very **next step** to move towards this change?

Exchanging information/Ask-Offer-Ask

A few considerations

- It's all right, and sometimes imperative, to express your concerns
- There are many pathways to change
- Focus on helping the person evaluate options
- Offer information and advice, don't impose it

Method: Ask - Offer - Ask

Ask

- Ask what person already knows
- Ask what person would like to know
- Ask permission to provide information/advice

Offer

- Prioritize what person most wants to know
- Be clear: use everyday language
- Offer small amounts of information with time to reflect
- Acknowledge freedom to disagree or ignore

Ask

· Ask for person's response, interpretation, understanding

Adapted from Motivational Interviewing, 3^{rd and 4th} editions by Miller & Rollnick, 2013 and 2023

Listening Activity

Engage with a partner for a brief conversation. One of you will be the Speaker and the other the Listener.



SPEAKER INSTRUCTIONS

1. Talk about a change you're considering making or dilemma you're facing.

LISTENER INSTRUCTIONS

- 1. Invite the Speaker to talk about a change they're considering making or a dilemma they're facing.
- 2. Listen carefully with a *goal of understanding* the Speaker's situation/dilemma. Give *no advice* let the Speaker be their own expert.
- 3. From the list below, choose 3 or 4 of the questions to guide the conversation. Listen and respond with a simple or complex reflective statement before asking another question.
 - What might be some benefits if you made this change?
 - What might be at stake if you don't change?
 - *How* might you go about it, in order to succeed?
 - What are your three best reasons to change?
 - How important/urgent is this for you?
 - *How* confident are you that you could make this change?
 - *Who* could support you?
 - What next steps could you take to move in the direction of this change?
- 4. Give a *short summary* of what you heard the speaker say about their motivations for change.

AS TIME PERMITS, SWITCH ROLES AND REPEAT.

The Underlying Spirit of Motivational Interviewing

"MI is done for or with someone, not on or to them."

Motivational Interviewing, 3rd edition

Imagine taking a drink of a carbonated beverage that has gone flat. It still tastes vaguely like itself, but the fizz has gone out of it. It's no longer worth drinking, and you'll probably pour it down the drain. The spirit, or the mindset and heart-set, of MI is the "fizz" of motivational conversations.

In describing MI spirit, Miller and Rollnick write: "When we began teaching MI in the 1980s we tended to focus on technique, on *how* to do it. Over time we found, however, that something important was missing. As we watched trainees practicing MI, it was as though we had taught them the words but not the music... This is when we began writing about the underlying *spirit* of MI, its mind-set and heart-set." (*Motivational Interviewing*, 3rd edition)

The spirit, or mindset and heart-set, of how we provide care and services significantly impacts people's willingness to accept our assistance. Helping is fundamentally relational – taking the form of a partnership.

Our approach, mindset and heart-set, needs to be genuine and real. It's embodied in how we carry and conduct ourselves. It's conveyed through our eyes, non-verbal expressions, and tone of voice. It's communicated in how we express ourselves. It's imparted through our attitudes and intentions. This mindset and heart-set is the essence of what people experience in our presence. Each element is briefly described below.

PARTNERSHIP

Forming a collaborative working relationship with someone; letting go of the need to be the expert; showing genuine respect for the other person's life experience, hopes, and strengths; assuming that both of you have important expertise and ideas; "dancing rather than wrestling"

ACCEPTANCE

Meeting a person "where they're at" without negative judgement; believing in the person's inherent worth and potential; conveying empathy – seeking to understand where they're coming from; shining a light on the good things you see in them instead of focusing on what's wrong with them

COMPASSION

Coming alongside people in their suffering (e.g., homelessness, trauma, mental illness, addiction, grief, stigmatization, racial injustice, denial of rights); offering the gift of a safe, listening presence; being in solidarity with; acting *for* and *with* people

EMPOWERMENT

Helping people realize and utilize their own strengths and abilities; "Calling forth" from people what they already possess – their hopes, values, desires, and aspirations; learning what people are passionate about, what they already know and can do, what they want to learn, what's important to them, how they'd like their lives to be different, what changes they're willing to consider making, and more.

Four Tasks of Motivational Interviewing

MI conversations have a purpose and direction. They seek to help people identify and explore their hopes, values, and change goals using an empathic, guiding approach. This guiding approach used in motivational conversations generally moves through four tasks.

These tasks – *engaging, focusing, evoking, planning* – tend to be sequential in MI conversations but sometimes each requires revisiting. Using MI is similar to improv theatre; you don't have a script, but there is a basic storyline that you're trying to follow.

Below is a sampling of inquiries you might use within in each of these tasks.

Engaging – getting to know someone and building trust

- "Welcome. It's really good to meet/see you."
- "How are things going in your life currently?"
- "What would you like me to know about yourself?"
- "What are some things you've been doing to (e.g., support your health)?"

Focusing – figuring out together what to talk about

- "What would you like to talk about today?"
- "You mentioned some concerns about...e.g., A, B, and C. Where shall we start?"
- "Would it be all right if we took a closer look at...?"

Evoking – drawing out the person's own motivation (e.g., desire, reasons, and ability to change (with a focus on drinking)

- "What role does alcohol play in your life?"
- "What does drinking do for you?"
- "What concerns, if any, do you have about your drinking?"
- "How does drinking impact the person you want to be?"
- "If you were to cut back or quit drinking, what might be some benefits of doing so?
- "What would be your three best reasons to change?"
- "How would you go about it in order to be successful in changing?"
- "Given everything in your life, how important is it for you to make this change?"
- "How confident are you that you could cut back or quit if you wanted to?"

Planning – developing a specific change plan that the person is willing to put in action

- "What do you think you'll do next?"
- "Who or what could be of help?""
- "What might get in the way of your plan?"
- "How will you know when your plan is working?"

Adapted from Motivational Interviewing, 3^{rd} & 4^{TH} editions by Miller & Rollnick, 2013 and 2023

Activity: A Guided Conversation across the Four Tasks of MI

Instruction: Find a partner. The designated interviewer facilitates a 10–12-minute conversation (or longer if you choose) using the template below to guide your conversation. After you conclude, debrief and discuss together all of the things the interviewer did well, and one thing that could be improved upon. Switch roles if you wish and do it again.

Engaging:

Begin with an *open inquiry*: "Please tell me about three core values or goals that are important to you in your life?" (perhaps related to health, integrity, creativity, open-mindedness, competency, family, spirituality, community, autonomy, meaningful work, security, fairness, love, and more)

Listen, using mostly reflections, affirmations or supportive statements; please, no closed questions, no problem solving or advising

When you feel your partner has had an ample opportunity to self-explore and express her/his perspective regarding the three core values, *summarize* your understanding of what you heard, and then ask, "What would you add to that?"

Focusing:

Now you might *ask* this question: "Of these three values, which one would you like to continue to talk about together for the next few minutes?"

Evoking:

Below are possible inquiries you might use in the evoking task. Remember to listen, reflect, and affirm as your primary skills.

"Tell me more about why this value is important to you." (Listen, reflect, affirm)

"In the last month or so, what are some things you have been doing that support this value?" (Listen, reflect, affirm)

"In the last month or so, what have been some challenges in supporting this value?" (Listen, reflect, affirm)

"How important is it to you to continue making efforts to support this value?" (Listen, reflect, affirm)

"How confident are you in being able to do so, if you choose?" (Listen, reflect, and affirm)

Planning:

Possible inquiries:

"What are some ways that you might consider supporting this value more strongly? (Listen, reflect, affirm)

"What would be some next steps you'd be ready to take, to support this value?" (Listen, reflect, affirm)

"Who or what would be helpful to support you?" (Listen, reflect, affirm)

Adapted from activity developed by Ali Hall, ID

OARS: Open Questions

Open questions invite people to say as much or as little as they want about a topic. They allow people to decide for themselves what ideas, thoughts, or feelings they want to share. Answering open questions tends to help people understand themselves better and think in new ways about their situation. Here are some examples of open questions:

What's going well in your life? What's not going so well?

What would you like to talk about today?

Would it be all right if I told you a bit about myself and my role?

What's most important to you in your life currently?

If you could change anything about your current circumstances, what would it be?

What do you value most about your current living situation?

If you were to cut back or quit using fentanyl, what would be your reasons to do so?

What specific changes are you thinking about making?

Closed questions, in contrast, limit the conversation. They are most often used to gather specific information or ask yes or no questions. For example:

How often do you use meth?

When is the last time you saw a dentist?

Open questions are used throughout the four tasks of MI and help to engage with the person, increase understanding, strengthen collaboration, find a focus, draw out motivation, and develop a plan for change. As a general guideline, open questions should be used more than closed ones.

OARS: Affirmations

Affirmations are statements that shine a light on what is good about a person. They put emphasis on people's strengths rather than their deficits. Strengths can include a person's behaviors, attitudes, qualities, knowledge, skills, efforts, and much more. Affirmations often help people recognize strengths they have difficulty seeing for themselves. Affirmations can also build a person's confidence in being able to make changes.

Affirmations can range from simple to complex. Complex affirmations typically focus on:

Highlighting positive traits or skills

Prizing of the person in general

Emphasizing intentions and actions

Reframing actions or situations in a positive light

An affirmation must be genuine and from the heart. People will know if it seems false and might be less likely to trust you. Affirming someone's strengths is different than cheerleading or praising. Praise statements put you in a "one-up" position and are usually based on judging someone's performance. Furthermore, praise can be both given and withdrawn. When forming affirmations, it's usually best to avoid starting with "I" and instead center the comment on "you." It's also helpful to be specific. Here are a few examples of affirmation.

You were very courageous to speak up for yourself in that situation.

You know what's best for you and you aren't going to be easily swayed.

You're showing your commitment to getting better by taking your meds as prescribed.

It was hard, yet your efforts to not drink on the weekend paid off.

I understand that you don't want to be here, and I appreciate your willingness to meet.

Adapted from Motivational Interviewing, 3rd edition by Miller & Rollnick, 2013

OARS: Reflective Listening

"What people really need is a good listening to." - Mary Lou Casey

"Good listening is fundamental to MI. The particular skill of reflective listening is one to learn first because it is so basic to all four tasks of MI. It takes a fair amount of practice to become skillful in this way of listening so that reflections come more naturally and easily." [p. 48]

Reflective listening is the skill of "bending back" to people what we hear them saying in an effort to understand "where they're coming from." In other words, to see the world through the other person's eyes. This kind of listening means giving a person your full attention. We do this with our words, actions, and body language. Reflective listening is a special gift we can offer to others in both our work and personal lives.

Reflective listening takes the conversation to a deeper level. This is especially true of reflective statements that go beyond repeating what was said by making a reasonable guess about the person's meaning. Such statements "have the important function of deepening understanding by clarifying whether one's guess is accurate. Reflective statements also allow people to hear again the thoughts and feelings they are expressing, perhaps in different words, and ponder them. Good reflective listening tends to keep the person talking, exploring, and considering. It is also necessarily selective, in that one chooses which aspects to reflect from all that the person has said." [p. 34]

Forming reflections requires the ability to *think reflectively*. Since words can have multiple meanings, and people don't always say exactly what they mean, it is useful to regard people's statements as a "first draft." In other words, rather than assuming what someone means, check it out. Because reflections are statements, not questions, the inflection usually turns down at the end. For example, notice the difference between:

You don't have any concerns about your smoking? You don't have any concerns about your smoking.

Some reflective statements basically repeat or slightly rephrase what a person has said. These *simple reflections* can convey basic understanding and help the flow of the conversation. However, they add little or no meaning to what the person said and can stop the conversation from going to a deeper level. For example:

Statement: *I can never seem to find the time to check my blood sugar regularly.* Response: *It's hard to find the time./It's a challenge to fit it in.*

Complex reflections add meaning or emphasis to what someone has said by making a guess about what is unspoken. Complex reflections tend to help people think more about their situation. When first learning to use complex reflections, it can feel a bit strange. However, when you get used to it, such reflections communicate real understanding. For example:

Statement: I can never seem to find the time to check my blood sugar regularly. Response: You've got a lot going on./Your feeling frustrated about this./Even though it's a challenge, you have a desire to check it regularly./You're hoping to find a way to make it more of a priority.

Adapted from Motivational Interviewing, 3rd edition by Miller & Rollnick, 2013

Common Sentence Stems in Forming Reflections



It sounds like...

As I listen to you, I get a sense that...

What I think I'm hearing is that...

You're thinking about...

My sense is that you're feeling...

It's almost as if...

It's like...

It would be...

You've noticed that...

For you, it's a matter of...

From your point of view...

You're feeling...

As you see it...

You...

You're wondering...

You really...

It's really important to you that...

This is really...

You care a lot about...

You're hoping...

On the one hand..., on the other hand...

You're uncertain about...

Part of you..., yet another part of you...

It's not that you..., it's that...

You believe...

Your concern is that...

You're not really...

You feel as though...

Your fear is that...

It seems that you...

You're not terribly excited about...

You're not all that concerned about...

As you look back...

As you look ahead...

It's hard to understand why...

Even though others think...

Your heart is telling you that...

Your best instinct is to....

You really value...

(Others)

Activity: Using Reflective Statements Strategically

For each client statement, respond with possible reflective statements that:

- · Convey empathy and understanding,
- Reflect both sides of ambivalence, or
- Highlight change talk.

Example

I've been having a lot of dizzy spells and should probably go to the clinic, but to be honest, I don't think I even want to know if there's something serious going on.

- Convey empathy/understanding: "You're worried about your health."
- Recognize ambivalence: "It's scary to think about what they might find; and at the same time, it's kind of scary not knowing why you're having these spells."
- Highlight change talk: "You'd like to get more information about what's going on with your health."

I know I could do some things differently, but if she would just back off, then the situation would be a whole lot less tense; then these things wouldn't happen.

I've been depressed lately. I keep trying things other than drinking to help myself feel better, but nothing seems to work, except having a couple of drinks.

So, I'm not too worried, but it's been over a year since I've had an HIV test. I know I'm not perfect, but why do they have to always tell me what to do. I'm not 3!

I probably should, but I don't use condoms. It's not my thing.

I might have a problem, but who are you to be giving me advice. What do you know about drugs? I bet you've never even smoked a joint!

I don't think I have a drinking problem. It's just that my partner is overly sensitive because her dad was an alcoholic.

Adapted from Rosengren, DB. (2009). Building Motivational Interviewing Skills: A Practitioner Workbook

OARS: Summaries

A summary is a statement that draws together two or more things that someone has said previously. It is basically a paragraph of selected reflective statements. Deciding what to choose to include in a summary depends on the situation. However, usually you want to pick the things that move in the direction of change – kind of like picking the tastiest chocolates from a box of candy. Summaries can be offered at various times in a conversation to draw ideas together and guide the conversation.

Summaries, like the other OARS skills, are used throughout the four tasks of MI. Particularly in the engaging and focusing tasks, summaries show that you have been listening carefully and that you value what the person has said. They also provide an opportunity for asking the person to fill in what you have missed.

In the evoking task, summaries are often used to emphasize things that support a person's change goal. Summaries can have different purposes. Collecting summaries pull together "in one basket" various statements the person has made. Linking summaries connect what the individual has said with something they said in a prior conversation. Transitional summaries are used as a wrap-up at the end of a conversation, or to create a bridge for shifting the conversation. In all three cases, emphasis is placed on shining a light on the persons change talk.

During the planning task of MI, summaries are generally used to recap the person's reasons for wanting to change and what they intend to do. This can help strengthen commitment to make the change.

Below are some guidelines for developing and offering summaries. Remember to keep summaries brief and to the point.

- 1. Begin with a statement indicating you are making a summary. For example: Let me see if I understand so far...

 Here is what I've heard. Tell me if I've missed anything.
- 2. If the person is "feeling two ways" about changing, name both sides of the uncertainty in the summary. For example:

On the one hand, you . . . on the other hand . . . "

3. Highlight *change talk* you heard – statements indicating the person's *desire*, *ability*, *reasons*, *need*, and *commitment* to change. For example:

You mentioned several reasons why you would want to make this change, including . . .

4. End with an invitation. For example:

What would you add?

Adapted from Motivational Interviewing, 3^{rd} edition by Miller & Rollnick, 2013 and handouts created by David B. Rosengren, Ph.D.

Evoking Change Talk

Change talk refers to anything someone says that "favors movement toward a particular change goal." Evoking, or "calling forth," change talk helps people come up with their own reasons to change. This is far better than someone else trying to convince them to change, which often makes people defensive and argue against changing. As a worker you can use the OARS skills (Open questions, Affirmations, Reflective listening, and Summaries) to "ask for" and respond to change talk. Notice that change talk comes in different flavors that form the phrase DARN-CAT.

Preparatory Change Talk - "thinking about changing"

Desire – I want to, I would like to, I wish, I hope

Ability - I can, I could, I am able to

Reasons – It would help me, I'd be better off if

Need – *I need to, I have to, something has to change*

Mobilizing Change Talk - "taking action"

Commitment- I will, I promise, I give you my word

Activation – I'm willing to, I am ready to, I am prepared to

Taking steps – I cut back on my weekend drinking

Methods for Evoking Change Talk

Asking evocative questions

What worries you about your current situation?

Why would you want to make this change?

How might you go about it, in order to succeed?

Using the *importance* ruler (also use regarding person's *confidence* to change)

On a scale of 0 to 10, how important is it for you to make this change? Tell me about being at __ compared to (several numbers lower)? What would it take to move from __ to (next highest number)? And how I might I help you with that?

0	1	2	3	4	5	6	7	8	9	10	
Not:	at all								Extr	emely	
impo	rtant								important		

Exploring extremes – What concerns you absolutely most about ____? What are the very best results you could imagine if you made a change?

Looking back - What were things like before you...?

Looking forward – *How would you like things to be different in the future regarding* ____?

Exploring goals and values – What do you value most in life? What are your most important reasons for wanting to decrease your risk for cancer? How do your current eating habits fit with your personal goals around healthy eating?

Adapted from Motivational Interviewing, 3rd edition by Miller & Rollnick, 2013

Exchanging Information: Ask-Offer-Ask

While MI is inherently a person-centered approach, it doesn't mean that the practitioner never offers information, suggestions, or even advice. In MI, both parties are viewed as having expertise; thus, there may be occasions when this kind of input is valuable. However, information and suggestions are provided sparingly and with permission, not as a first line of response.

There are two main differences in how input is offered in MI, as compared to being dispensed in an unsolicited, authoritative manner. The first is that it is offered only *with permission* from the person. Secondly, it is provided not as the "final word" but rather in the context of helping people come to their own conclusions about its relevance and value. It is often helpful to verbally acknowledge this with people.

Intent of providing information and suggestions in MI

- *Not* an attempt to convince people of the folly of their ways
- Provides an opportunity to express concerns and help the individual move further along in the process of change
- Can help a person come to a decision

A few considerations

- It's all right to express your concerns
- There are many pathways to change; your way may not be the way of another
- Focus on helping the person evaluate options
- Offer information and advice, don't impose it

Suggested method: Ask-Offer-Ask

Ask

- Ask what the individual already knows about the issue at hand
- Inquire what more the individual would like to know
- Ask permission to provide input e.g., "Would it be all right if I share some ideas/information/possible options/suggestions for your consideration?"

0ffer

Offer small dose of information or advice in a kind, nonjudgmental manner

Ask

- Ask for the person's response e.g., "I wonder what you think of that"
- Emphasize change talk, provide affirmations, and instill hope.
- Recognize and affirm it is the individual's decision to make e.g., "Of course, you're the only one who can make this decision."

Adapted from Motivational Interviewing, 3^{rd} and 4^{th} editions by Miller & Rollnick and handouts created by David B. Rosengren, Ph.D.

MI Self-Appraisal

As the interviewer, I	0- n at a			5- e	xtrem V	nely vell
1. Provided a safe, welcoming presence with my words and actions. <i>Example:</i>	0	1	2	3	4	5
2. Engaged with and showed genuine interest in the person, e.g., what she or he enjoys, needs, values. <i>Example:</i>	0	1	2	3	4	5
3. Found out and clarified what the person wanted to focus on currently. <i>Example:</i>	0	1	2	3	4	5
4. Helped explore both sides of the person's dilemma , e.g., what's working and what's not; upsides and downsides. <i>Example:</i>	0	1	2	3	4	5
5. Avoided trying to " fix " the problem or <i>get</i> the person to change by advising, confronting, warning, or teaching. <i>Example:</i>	0	1	2	3	4	5
6. Elicited what might be some possible reasons to change <i>if</i> the person were to decide to change. <i>Example:</i>	0	1	2	3	4	5
7. Learned about possible ways that he or she might go about making this change. <i>Example:</i>	0	1	2	3	4	5
8. Asked how important it is at this time for the person to make this change. <i>Example:</i>	0	1	2	3	4	5
9. Asked how confident she or he feels to be <i>able</i> to make this change. <i>Example:</i>	0	1	2	3	4	5
10. Inquired about what steps , if any, the person might take next. <i>Example:</i>	0	1	2	3	4	5
11. Asked permission before providing information or suggestions . <i>Example:</i>	0	1	2	3	4	5
12. Used the core skills of MI (open questions, affirmations, reflective listening, summaries) throughout the conversation.	0	1	2	3	4	5
13. Consistently demonstrated the spirit of MI:	_					
> Partnership	0	1	2	3	4	5
> Acceptance	0	1	2	3	4	5
> Compassion	0	1	2	3	4	5
> Empowerment	0	1	2	3	4	5

Developed by Ken Kraybill based on Miller, W.R. & Rollnick, S., Motivational Interviewing: Helping People Change and Grow, 2023

Possible Behaviors for Change

Instructions: Pick a change that you've been thinking about making but haven't made yet. It could be a behavior, attitude, relationship, situation, or role about which you have mixed feelings. It should not be too personal or private (e.g., illegal activities), nor too inconsequential (what to eat for dinner). The dilemma should be something that you feel comfortable talking about with your learning partner.

- Accepting what I cannot change
- Alcohol/other substance use
- Allowing others to take advantage of me
- Anger/frustration management (e.g., swearing)
- Arriving late
- Avoiding action on issues such as the environment or social justice
- Avoiding conflict
- Awfulizing; making "mountains out of molehills"
- Behaviors regarding rules/policies
- Behaviors with my supervisor or managers
- Blaming
- Bossy, pushy
- Can't say no—take on too much responsibility
- Cluttered mind—lack of serenity
- Co-dependent behaviors
- Computer games
- Controlling others
- Creating drama
- Criticizing others
- Criticizing others to third parties
- Diet, food choices
- Disorganized
- Dominating conversations
- Driving behaviors (e.g., speeding, road rage)
- Exercise, under-exercising
- Failure to set priorities, goals
- Failure to take risks
- Failure to reconcile breached relationships
- Failure to volunteer; Always volunteering
- Fear of speaking up
- Fighting lost causes
- Getting even
- Giving unsolicited advice
- Gossiping
- Hard to admit I'm wrong
- Health issues
- Holding grudges
- Impulsive spending
- Interpersonal control issues
- It's all about me
- Judgmental attitudes/behaviors
- Jumping to conclusions
- Know-it-all
- Lack assertiveness

- Lack confidence
- Lack generosity (e.g., giving to worthy causes)
- Lack empathy, compassion
- Lack tolerance
- Leaving undesirable tasks to others
- Listening to spouse, partner, teenage child
- Materialistic
- Meddling
- Money management
- Neglecting time with family or friends
- Not sticking to goals (e.g., furthering education)
- Not doing my part
- Not letting go "beating dead horses"
- Neglecting my needs for sake of others
- Opinionated
- Over-eating; Under-eating
- Overly concerned about what others think of me
- Overly confident
- Passive-aggressive behaviors
- Pessimism, cynicism
- Perfectionistic
- Picking fights
- Procrastinating
- Smoking
- · Social media
- Solving other people's problems
- Spending emotional energy on issues over
- which I have no control
- Spiritual or religious disciplines (e.g., church attendance, meditation, study)
- Stirring up conflict
- Taking medications
- Taking offense easily
- Tactless
- Take things too personally
- Too critical of myself
- Too much TV
- Time management
- Too outspoken
- Too neat; too messy
- Unforgiving
- Wasting time
- Whining
- Worry too much

Motivational Interviewing and Harm Reduction

by Tom Horvath, Ph.D., ABPP

In this article I compare these two important contemporary recovery concepts. From my perspective they are mostly similar, but have dramatically different rates of acceptance in the addiction treatment industry.

Motivational Interviewing (MI)

Motivational interviewing (MI) is a psychotherapeutic approach for promoting any healthy behavior change. MI was created for work with addiction, then extended to any behavior change about which someone is ambivalent. Ambivalence is a crucial aspect of MI. The person who is completely committed to change is actually changing, and not sitting in front of you discussing the possibility of it. MI accepts a person's ambivalence, but works toward reducing ambivalence in favor of healthier behavior. One of the principal methods of MI is to engage the individual to think about and articulate reasons for change.

MI is a radically different approach to addiction treatment. MI offers no direct guidance or education. There may be little history taking or diagnostic assessment (although these components may occur in the broader context of treatment). There is no treatment plan or set of treatment goals specific to the individual. The general plan for every client is to identify and develop motivation for change, in order to move the individual in the direction of healthier behavior. MI assumes that individuals who act self-destructively are nevertheless underneath not self-destructive, but rather, just like everyone else, interested in being healthier and happier. Strikingly, MI is generally as effective as other addiction treatments such as CBT or 12-step facilitation, even though it is much shorter in length. In some studies the MI treatment lasts only one-third as long as the comparison treatment.

What is healthier behavior? In diagnosable addiction there is not much need to make fine distinctions between what is healthy or less healthy. Eating regular nutritious meals, getting regular sleep, exercising adequately, and not using (damaging) substances are simple concepts to understand. "Healthy" is generally synonymous with "long-term satisfaction." Addiction is acting on short-term satisfaction. Health and recovery involve acting on long-term satisfactions.

Harm Reduction (HR)

Harm reduction (HR) is a public health approach that accepts client/patient goals as primary, even though these goals may be short-sighted, while still working to engage the individual in considering longer-term goals. Classic HR interventions include needle exchange programs (trade in your dirty needles for clean ones, to reduce your chance of infection), opiate replacement (use methadone or Suboxone so that you don't have to stop opiates altogether, but can now use safer ones) and safe injection sites (shoot up in safer conditions for yourself and reduce problems for the public).

Individuals who accept HR assistance are willing to make some changes in their use or behavior (for the purpose of reducing harm or the risk of harm), but are not willing to abstain. If abstinence is the ultimate solution to addiction, then these individuals can be viewed as willing to go only a small distance in that direction. One of the main arguments in support of HR has been the suggestion that individuals with significant addiction may be able to approach abstinence only by degrees. If we do not give them a "ladder" to climb to

abstinence one step at a time they are unlikely to jump (to that seemingly impossibly high perch) all at once.

HR makes use of the behavioral principle of "small steps" in the right direction. In cases of severe addiction the goal may be simply to keep someone alive long enough so that "recovery happens." Dead individuals cannot recover. HR services also give someone an entry into services that is denied to someone who only has the options of abstinence-oriented treatment, or no treatment or assistance at all.

MI and HR compared

Both HR and MI view human beings as easily persuaded by short-term considerations (e.g., the desirability of getting high) at the expense of long-term considerations (e.g., doing well at school or work). Both approaches recognize that instructing someone not to act on short-term considerations might be helpful in the short-term, but probably won't be helpful in the long term. On the contrary, by setting up too many rules and regulations, it is easy to drive people away. People ultimately do what they want to do. Both approaches are willing to engage the person in discussion to activate motivation for long-term satisfaction, while accepting whatever progress the individual makes in that direction. Both approaches support individuals deciding to pursue deeper change, to include abstinence and/or seeking additional treatment or services.

The fundamental differences appear to be that MI is a short-term effort conducted by sit-inthe-office therapists, while HR actively engages addicted individuals anywhere they might be found, possibly for long periods of time. HR can include all of MI (if there is opportunity to speak at length with the client), but HR also includes active helping as well as discussion. In HR oriented psychotherapy active helping might include engaging the individual in resolving problems that maintain addiction, thereby setting the stage for ending addiction.

Looking ahead for MI and HR

MI is widely accepted in US addiction treatment (judging by how many treatment facilities state they use it). HR would typically be controversial in the same facility. If the above analysis is accurate, the differences between MI and HR are not major. Why the difference in acceptance?

Perhaps some professionals do not understand how radically different MI is. If so, and they say they use MI, they are probably not true practitioners of it. On the other hand, there is no missing the in-your-face attitude of HR. If the practitioners who support MI do so because they don't understand it well, I hope that when they do understand it, they will still support it (and practice it properly).

HR continues to build a substantial body of supportive research. I hope that in time HR will become the over-arching perspective for all addiction treatment and prevention, because it can incorporate everything else we do. With luck the acceptance of motivational interviewing is leading to the greater acceptance of harm reduction.

https://www.practicalrecovery.com/prblog/motivational-interviewing-harm-reduction/

Snatching Change Talk from the Jaws of Ambivalence

Adapted from Bill Miller & Terri Moyers

Instructions:

I. Underline the **change talk (desire, ability, reason, need or commitment)** in statements 1-10.

II. Form triads: Client, Listener 1, Listener 2

- Client reads entire statement of ambivalence. Listener 1 responds to change talk with reflective statement. Client responds with more change talk.
- Listener 2 responds to new change talk with reflective statement. Client responds with even more change talk. (Rotate roles and go to next statement.)

Example: I really don't want to stop smoking, but I know that I should. I've tried before and it's really hard.

Options: A. You really don't want to quit. B. It's pretty clear to you that you ought to quit. C. You're not sure if you can quit. (Option B is the reflection that reinforces the change talk.)

- I don't drink any more than most people I know. Sure, I sometimes feel a little foggy the next day, but it wears off quick. It's no big deal.
- 2 Sure, I want my kids back, and I want to be a good mother, but the court's making it impossible. There's no way I can do all those things they're making me do.
- I wasn't doing anything wrong! I just went along for the ride, and I didn't know they were going to grab that lady's purse. Now they're saying that I violated my probation. I guess it's not smart to be cruising around at 2 in the morning, but it happened so fast, there was nothing I could do about it. I didn't break any laws, and I'm not going back to jail for this.
- 4 It's such a hassle to take those pills. I'm supposed to remember to take them 4 times a day and half the time I don't even have them with me. I guess there's a good reason for it, but it's just not possible for me.
- 5 Last time I tried to quit smoking, I was really bad to be around for 5 days. I was just going off on everybody. But, then, after that I was pretty cool and then after 2 weeks, I was able to be cool all the time. But I can't be losing my cool with people for 5 days you know. In my situation, if I go off on the wrong people, it could be bad man, real bad.
- 6 Sometimes, doing my rituals makes me want to scream. But then I think, if I didn't have my OCD, I'd probably be a lot less conscientious. I think my OCD is part of what makes me a good person.
- Hey, I can't go inpatient. If I've got to go someplace, why can't I go to AA or something? If I go inpatient for 28 days, I'm going to lose my job, plus a lot of other bad stuff. You understand what I'm saying.
- When you take that first hit man, there's no feeling like it. At that moment, you just don't care about all the bad stuff drugs do to your life; it's just this amazing rush and nothing else matters.
- 9 Yeah, I was going to night classes. I went every day, did my homework and I admit, I was feeling good about that. But it's gotten just too hard, you know, it's causing me to be tired at work, and even though my wife says she's for me finishing, I can tell she is getting tired of having the extra burden with the kids and all. Man, I would like to have that certificate, though.
- I have no time to go to counseling. I really hate that I'm so much more impatient with my kids than I used to be because I'm so miserable. But I can't leave them alone. I don't trust anyone else to take care of them the way I do.

Activity: Finding "Hidden" Change Talk

Source: In the Realm of Hungry Ghosts: Close Encounters with Addiction. Gabor Mate, p. 47-48

Jake, methadone-treated opiate addict and heavy cocaine user, is in his mid-thirties. With his wispy blond facial stubble, lively body movements, and a black baseball cap pulled rakishly low over his eyes, he could pass for ten years younger. "You've been injecting a lot of cocaine recently, " I remark to him one day.

"It's hard to get away from it," he replies with his gap-toothed grin.

"You make coke sound like it's some wild animal, stalking you. Yet you're the one who's chasing it. What does it do for you?"

"It cuts the edge off everyday life down here, of dealing with everything."

"What is everything?"

"Responsibilities. I guess you could call it that – responsibilities. So long as I'm using, I don't care about responsibilities. . . . When I'm older, I'll worry about pension plans and stuff like that. But right now, I don't care about nothin' except my old lady."

"Your old lady..."

"Yeah, I look upon the coke as my old lady, my family. It's my partner. I don't see my own family for a year, and I don't care, 'cause I've got my partner."

"So the coke is your life."

"Yeah, the coke's my life.... I care more about the dope than my loved ones or anything else. For the past fifteen years ... it's part of me now. It's part of my every day.... I don't know how to be without it. I don't know how to live everyday life without it. You take it away, I don't know what I'm going to do.... If you were to change me and put me in a regular-style life, I wouldn't know how to retain it. I was there once in my life, but it feels like I don't know how to go back. I don't have the ... It's not the will I don't have; I just don't know how."

"What about the desire? Do you even want that regular life?"

"No, not really," Jake says quietly and sadly.

I don't believe that's true. I think deep in his heart there must live a desire for a life of wholeness and integrity that may be too painful to acknowledge – painful because, in his eyes, it's unattainable. Jake is so identified with his addiction that he doesn't dare imagine himself sober.

"It feels like everyday life for me," he says. "It doesn't seem any different from anyone else's life. It's normal for me."

That reminds me of the frog, I tell Jake. "They say that if you take a frog and drop him in hot water, he'll jump out. But if you take the same frog, put him in water at room temperature and then slowly heat up the water, he'll boil to death because gradually, degree by degree, he becomes used to it. He perceives it as normal. "If you had a regular life and somebody said to you, 'Hey, you could be in the Downtown Eastside hustling all day and blowing three or four hundred dollars a day on rock,' you'd say, "What? Are you crazy? That's not for me!' But you've been doing it for so long, it's become normal for you."

Jake then shows me his hands and arms, covered with patches of silvery scales on a red inflamed field of skin. On top of everything else, his psoriasis is acting up.

"Do you think you could send me to a skin specialist?" he asks.

"I could," I reply, "but the last time I did, you didn't show for the appointment. If you miss this one, I won't refer you again."

"I'll go, Doc. Don't worry, I'll go."

I write out the prescriptions for methadone and for the dermatological creams Jake needs. We chat a little more, and then he leaves. He's my last patient of the day. A few minutes later, as I'm about to check my voicemail messages, there's a knock. I pull the door ajar. It's Jake, who made it to the front gate of the Portland [Hotel] but has returned to tell me something.

"You were right, you know," he says, grinning again.

"Right about what?"

"That frog you're talking about. That's me."

Change Plan Worksheet

A change I want to make
The reasons why I want to make this change
My strengths and skills that will help me be successful
Specific ways others can assist and support me Person, program, resource Ways to assist and support
The next steps I plan to take
How I'll know when my plan is working
Who I'll turn to if I get discouraged
How I'll celebrate successes along the way

MI Self Check for Practitioners

Individuals I meet with would say that I...

□В	Believe that <i>they</i> know what's best for themselves
□ Н	Help them to recognize their own strengths
□ A	Am interested in helping them solve their problems in their own way
□ A	Am curious about their thoughts and feelings
□ Н	Help guide them to make good decisions for themselves
□ Н	Help them look at both sides of a problem
□ Н	Help them feel empowered by my interactions with them
Adapte	ed from Hohman. & Matulich. Motivational Interviewing Measure of Staff Interaction, 2008

Selected Resources

Arkowitz, H, Westra, H.A., & Miller WR, Rollnick S. (Eds.). (2015). *Motivational Interviewing in The Treatment of Psychological Problems (2nd edition)*. New York, NY: Guilford Press.

Hohman, M. (2011). *Motivational Interviewing in Social Work Practice*. New York, NY: Guilford Press.

Knapp, J., Ernst, D., Rousmaniere, T., Vaz, A. (2022) Deliberate Practice in Motivational Interviewing. Washington, D.C., American Psychological Association.

Miller, W.R., & Rollnick, S. (2013). *Motivational Interviewing: Helping People Change* (3rd Ed.). New York, NY: Guilford Press.

Miller, W.R., & Rollnick, S. (2023). *Motivational Interviewing: Helping People Change and Grow* (4th Ed.). New York, NY: Guilford Press.

Miller, W.R., & Rose, G. (2009). Toward a Theory of Motivational Interviewing. *American Psychologist*, 64(6), 527-537.

Naar-King, S., & Suarez, M. (2011). *Motivational Interviewing with Adolescents and Young Adults*. New York, NY: Guilford Press.

Rollnick, S., Miller, W.R., & Butler, C. (2008). *Motivational Interviewing in Health Care*. New York, NY: Guilford Press.

Rosengren, D.B. (2017). *Building Motivational Interviewing Skills: A Practitioner Workbook (2nd Ed.).* New York, NY: Guilford Press.

Psychwire Motivational Interviewing resources (free video clips and PDF resources) https://psychwire.com/motivational-interviewing/resources

Wagner CC, Ingersoll KS. (2012). *Motivational Interviewing in Groups*. New York, NY: Guilford Press.

Website: www.motivationalinterviewing.org

Selected Online MI Resources

MI Center for Change

https://www.youtube.com/c/MICenterforChange

Access multiple, free, brief videos on various MI topics. Videos are consistent with the latest edition of MI: Miller, W.R., & Rollnick, S. (2023). *Motivational Interviewing: Helping People Change and Grow* (4th Ed.). New York, NY: Guilford Press.

MI Resources - MINT website

http://www.motivationalinterviewing.org/motivational-interviewing-resources

Interactive MI iBook

Authors: Ken Kraybill, Jeff Olivet, Scott R. Petersen, Collin Whelley https://itunes.apple.com/us/book/changing-conversation-interactive-tool-to-build-motivational/id627539414?mt=11

MI Podcast series: Changing the Conversation

http://us.thinkt3.com/podcast

Podcast: The Power of Motivational Interviewing

Revolution Health Radio – podcast #49. Chris Kresser interviews Ken Kraybill (June 2018) https://chriskresser.com/podcasts/

MI Blogposts - University of North Carolina Center for AIDS Research

https://uncmotivationalinterviewing.wordpress.com/

MI Learning and Demonstration Clips

William R. Miller on MI (~ 2 min) https://www.youtube.com/watch?v=a0chqEXb43w

William R. Miller - Righting Reflex (~ 2 min) https://vimeo.com/18469694

Stephen Rollnick - MI and the Righting Reflex (~ 2 min) https://www.youtube.com/watch?v=17qHqklweYM

William R. Miller and MI and Quantum Change (~ 1 hr. 25 min – starts at around 8.5 minute mark) https://www.youtube.com/watch?v=2yvuem-QYCo

Intro to MI - Bill Matulich (~ 17 min) https://www.youtube.com/watch?v=s3MCIZ70GRk

Empathy: The Human Connection (\sim 5 min) https://www.youtube.com/watch?v=cDDWvj_q-o8

MI Pro-Tips – Bob Jope https://www.jopeconsultingservices.com/jcs-protips

Motivational Interviewing in Child Welfare Services (~ 39 min) http://ats.ucdavis.edu/ats-video/?kmid=0 pcc9au5x

MI for the Busy Clinician: Mr. Smith's Smoking Evolution https://www.youtube.com/watch?v=0z65EppMfHk (~ 10 min)

Stop It – Bob Newhart (An example of what MI is *not*!) https://www.youtube.com/watch?v=n-Tej0297wk (~ 6 min)

MI in Dental Practice

How NOT to do Motivational Interviewing in Dental Practice Addressing Tobacco Use with David - Marilyn Herie (\sim 3 min)

https://www.youtube.com/watch?v=SytVckoox4U

Motivational Interviewing in Dental Practice Addressing Tobacco Use with David - Marilyn Herie (~ 4 min) https://www.voutube.com/watch?v=rFLrDvUexC8

Motivational Interviewing in Dental Practice: Emily's Oral Piercing https://www.youtube.com/watch?v=HMS6acj3Fjw

MI with Survivors of Intimate Partner Violence (scenarios 1, 2, 3)

Emmy's first encounter with an IPV counselor (~ 23 min)

https://www.youtube.com/watch?v=P3JUXQ4kkHs

Vanessa's second meeting with a hospital social worker (~ 16 min)

https://www.youtube.com/watch?v=lrnkEQRUyJM

Liv's fourth session with an IPV advocate ($\sim 18 \text{ min}$)

https://www.youtube.com/watch?v=jxNBQKMW1wg